

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

In re

SMITH BARNEY TRANSFER AGENT
LITIGATION

This document relates to: all actions

05 Civ. 7583 (WHP)

ECF case

NOTICE OF APPEARANCE

TO THE CLERK OF THE COURT AND ALL PARTIES OF RECORD:

PLEASE TAKE NOTICE that the undersigned hereby enters her appearance as counsel in this action for defendants Smith Barney Fund Management LLC, Citigroup Global Markets Inc., and Lewis Daidone.

Dated: September 13, 2011

Respectfully submitted,

/s/ Katherine Schuerman

Katherine Schuerman
SCHULTE ROTH AND ZABEL LLP
1152 Fifteenth Street, N.W., Suite 850
Washington, D.C. 20005
Tel: (202) 729-7470
Fax: (202) 730-4520
katherine.schuerman@srz.com

***Counsel for Defendants Smith Barney
Fund Management LLC, Citigroup Global
Markets Inc., and Lewis Daidone***